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**To: Examiner Ginette Peralta**  
**Art Unit: 2814**

**From: Leslie S. Szivos, Ph.D.**

**Fax: 703-872-9319**

**Pages: 7, including cover sheet**

**Phone:**

**Date: 11/14/2003**

**Re: David M. Fried, et al.**  
**U.S. Serial No. 09/683,328**  
**For: "Implanted Asymmetric**  
**Doped Polysilicon Gate FinFET"**  
**IBM Ref: BUR920010171US1**  
**Our Docket: 15017**

**CC:**

## **REQUEST FOR CONTINUED EXAMINATION (RCE)**

### **Transmitted herewith are:**

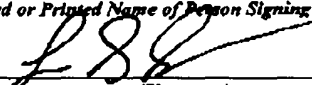
1. Request for Continued Examination (RCE) Transmitted (in duplicate)
2. Petition for a One-Month Extension of Time
3. Authorization to Charge IBM (BURLINGTON) Deposit Account No. 09-0456/IBM in the amount of \$770.00 for the RCE Fee and \$110.00 for the One-Month Extension of Time Fee; and
4. Certificate of Facsimile dated November 14, 2003 (in duplicate)

### **FOR:**

**Applicants: David M. Fried, et al.**  
**Serial No.: 09/683,328**  
**Filed: December 14, 2001**  
**Title: IMPLANTED ASYMMETRIC DOPED POLYSILICON GATE FinFET**  
**Docket: BUR920010171US1 (15017)**

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<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>			<b>Docket No.</b>
Applicant(s): <b>David M. Fried, et al.</b>			<b>BUR920010171US1 (15017)</b>
<b>Serial No.</b> 09/683,328	<b>Filing Date</b> December 14, 2001	<b>Examiner</b> Ginette Peralta	<b>Group Art Unit</b> 2814
Invention: <b>IMPLANTED ASYMMETRIC DOPED POLYSILICON GATE FinFET</b>			
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PTO/SB/30 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

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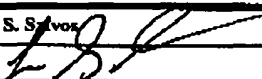
Application Number	09/683,328
Filing Date	December 14, 2001
First Named Inventor	David M. Fried, et al.
Art Unit	2814
Examiner Name	Glaette Peralta
Attorney Docket Number	BUR920010171US1 (15017)

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such
- a. ☒ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii. ☐ Other \_\_\_\_\_
- b. ☐ Enclosed
- i. ☐ Amendment/Reply iii. ☐ Information Disclosure Statement (IDS)
- ii. ☐ Affidavit(s)/Declaration(s) iv. ☐ Other \_\_\_\_\_
2. **Miscellaneous**
- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(f) required)
- b. ☐ Other \_\_\_\_\_
3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 09-0456/IBM
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

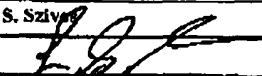
**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)	Leslie S. Szivos	Registration No. (Attorney / Agent)	39,394
Signature		Date	November 14, 2003

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print / Type)	Leslie S. Szivos	Date	November 14, 2003
Signature			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.